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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

APPLICANT: SAMUEL et al.
 SERIAL NO.: 09/926,494
 FILING DATE: November 13, 2001

GROUP ART UNIT:
 ATTY. DOCKET NO.: P67265US0
 TODAY'S DATE: February 25, 2002

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE (If Appropriate) |
|----------------------|----|--------------------|----------|-----------------|-------|---------------|---------------------------------|
| AC | AA | 3,739,217 | 06/12/73 | Bergh et al. | | | |
| AC | AB | 4,080,245 | 03/21/78 | Yamanaka et al. | | | |
| AC | AC | 4,856,014 | 08/08/89 | Figueroa et al. | | | |
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FOREIGN PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | Translation | |
|----------------------|----|--------------------|----------|---------|-------|---------------|-------------|------|
| | | | | | | | (Yes) | (No) |
| AC | AD | 98/25313 | 06/11/98 | WIPO | | | | |
| AC | AE | 98/25314 | 06/11/98 | WIPO | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|----|----|--|
| AC | AF | B. J. Matterson et al., "Effect of Lateral Microstructure on Conjugated Polymer Luminescence", International Conference On Science and Technology of Synthetic Metals, Montpellier, France, 12-18 July 1998, Vol. 101, No. 1-3, pages 250-251, XP-000931170. |
| AC | AG | Shanhui Fan et al., "high Extraction Efficiency of Spontaneous Emission from Slabs of Photonic Crystals", Physical Review Letters, 28 April 1997, Vol. 79, No. 17, pages 3294-3297, XP-000931171. |
| AC | AH | R. Windisch et al., "Light-emitting diodes with 31% external quantum efficiency by outcoupling of lateral waveguide modes", Applied Physics Letters, 19 April 1999, Vol. 74, No. 16, pages 2256-2258, XP002145910. |
| AC | AI | D.G. Lidzey et al., "Control of photoluminescence emission from a conjugated polymer using an optimised microcavity structure", Chemical Physics Letters 263, 20 December 1996, pages 655-660. |
| AC | AJ | M.G. Salt et al., "Photonic band gaps in guided modes of textured metallic microcavities", Optics Communications, Vol. 166 (1-6), 22, February 1999, pp. 151-162, (Abstract Only). |
| AC | AK | M. D. McGehee et al., "Semiconducting polymer distributed feedback lasers", Applied Physics Letters, 30 March 1998, Vol. 72, No. 13, pages 1536-1538. |

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| EXAMINER <i>Holly Harper</i> | DATE CONSIDERED <i>5/8/03</i> |
|------------------------------|-------------------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

APPLICANT: SAMUEL et al.
 SERIAL NO.: 09/926,494
 FILING DATE: November 13, 2001

GROUP ART UNIT: 2879
 ATTY. DOCKET NO.: P67265US0
 TODAY'S DATE: April 19, 2002

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE (If Appropriate) |
|----------------------|----|--------------------|------|------|-------|---------------|---------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
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FOREIGN PATENT DOCUMENTS

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|----------------------|----|--------------------|------|---------|-------|---------------|-------------|------|
| | | | | | | | (Yes) | (No) |
| | AD | | | | | | | |
| | AE | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|-----------|----|--|
| <i>HL</i> | AF | M.G. Salt et al., "Photonic band gaps in guided modes of textured metallic microcavities", Optics Communications, Vo. 166 (1-6), 22, February 1999, pp. 151-162, (Abstract Only) |
| | AG | |
| | AH | |
| | AI | |
| | AJ | |
| | AK | |

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